

List of Supporting Documents

Document	Requirements	Why we ask for this	Dated / Age	Applicable to
1 Governing Document	<ul style="list-style-type: none"> At least 3 unrelated trustees or directors, living at different addresses Aims and objectives that match with project or activity that funding is being requested for Dissolution/winding-up – assets must go to a similar organisation, and not shared between members/shareholders For CICs, Articles of Association should contain a named Asset Lock 	<p>We check your governing document, constitution or articles of association to make sure that your organisation is eligible for funding, and that your planned project is in line with your organisations' aims and objectives.</p> <p>For organisations registered at Companies House, we'll check their website to make sure there are no 'Persons with Significant Control' listed for your company. If there is, we'll need to discuss this with you.</p>	N/a unless document is updated	Everyone
2 Annual Accounts	<ul style="list-style-type: none"> Solvent Unrestricted reserves usually less than 12 months operating costs (contact us to discuss if this isn't the case) 	<p>We need to be sure that your organisation is not in financial difficulties that could put any money we award at risk and jeopardise your ability to deliver the planned project.</p> <p>On the other hand, if your unrestricted reserves are more than 12 months operating costs, we'd want to discuss with you the reason why you're seeking additional funding for this project.</p>	Last published accounts for financial year	Everyone

3	Management Accounts	<ul style="list-style-type: none"> Covering period between last set of accounts to now Full breakdown of income, expenditure and reserves and expected cashflow for remainder of current financial year 	Where the last set of published accounts are older than 6 months, we ask for recent management reports to assess whether any significant changes have taken place, or are expected to take place, in the current financial year	Within last 1 month	If Annual Accounts are older than 6 months
4	Bank Statement - redacted (or Paying-in Slip)	<ul style="list-style-type: none"> Sort-code and account number shown must match the details entered in this application Name and address shown matches registered company/charity details and those entered in this application 	<p>As a standard measure to reduce the risk of fraud, we use this to verify your organisation's address and bank details.</p> <p>Please redact any financial and transactional information on the bank statement, we are only interested in the 'top' of the document with name, address, account details.</p>	Within last 3 months	Everyone
5	Safeguarding Adults at Risk Policy	<ul style="list-style-type: none"> Designated Safeguarding Lead(s) (DSL) contact names and telephone numbers Types of abuse Signs of abuse How to report concerns Safe recruitment practices/policy Staff and volunteer training 	<p>As a key governance priority, we need to be sure that organisations we fund have clear and effective safeguarding practices in place.</p> <p>All organisations, not just those working directly with children and/or adults at risk of harm, need a safeguarding policy and safeguarding procedures in place which also cover staff, volunteers and beneficiaries.</p>	Within last 3 years (and not overdue for review)	Everyone (can be combined Adults and Child policy)

6	Safeguarding Children Policy	<ul style="list-style-type: none"> • Designated Safeguarding Lead(s) (DSL) contact names and telephone numbers • Types of abuse • Signs of abuse • How to report concerns • Safe recruitment practices/policy • Staff and volunteer training 	As above	Within last 3 years (and not overdue for review)	Everyone (can be combined Adults and Child policy)
7	Equality, Diversity and Inclusion Policy	<ul style="list-style-type: none"> • Your mission or commitment to build an inclusive, diverse and equitable organisation free of discrimination, harassment and bullying • Actions and initiatives to achieve this • Types of discrimination – referencing the 9 protected characteristics • How to report concerns - for the public and staff/volunteers • Staff and volunteer recruitment practices/policy • Staff and volunteer training 	<p>Some projects will target particular groups of people, for example youth groups and day care centres – this is fine. However, we expect our grants to benefit everyone in your community who wants to use the services or activities you provide. An Equality, Diversity & Inclusion policy will show us that your organisation is inclusive and treats everyone fairly.</p> <p>An organisation might not be fully inclusive and diverse (for example, churches or other religious buildings), but the project can be (for example, a mother and toddler group).</p>	Within last 3 years (and not overdue for review)	Everyone

8	Public Liability Insurance Certificate	<ul style="list-style-type: none"> Minimum cover of £10m 	<p>We need to make sure that the beneficiaries of your award are protected if something goes wrong while you're delivering your project.</p> <p>If your cover is inherited from a parent organisation, or from the venue(s) you use, we'll need to see full details of this.</p>	Within last 12 months	Everyone
9	Employers Liability Insurance Certificate	<ul style="list-style-type: none"> Minimum cover of £10m 	<p>This is a standard level of cover that any organisation with staff and/or volunteers needs to have.</p> <p>If your cover is inherited from a parent organisation, we'll need to see full details of this.</p>	Within last 12 months	Everyone
11	LARGE GRANTS PROGRAMME ONLY Project Budget	<p>Download the template from our website</p> <p><i>Note:</i> Small Grants applicants are welcome to use this template if you find it helpful, but doing so is optional</p>	<p>We want to see that your project is adequately costed, with no missing elements and showing value for money. We'll also ask you to send us quotes for any capital purchases you're planning.</p>	-	Large Grants – Everyone